

(1713/1)

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 99B050/2
In Re Application of: George Rodriguez			
U.S. Serial No. 09/734,296	Filing Date December 11, 2000	Examiner Not Assigned	Group Art Unit 1713

#6
0524-02
AS

Address to:
**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR § 1.97(b)

- ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☒ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☒ the fee as set forth in § 1.17(p), or
☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

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Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☐ This application is a:

☐ Continuation/ Divisional,

☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are
☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1715. A duplicate copy of this form is enclosed.

May 7, 2002

(Date)



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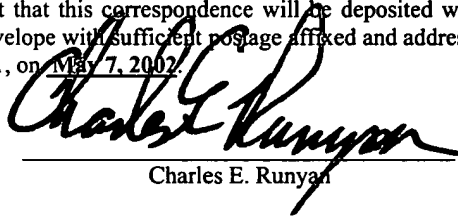
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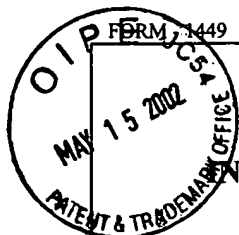
Fax: 281-834-2495

CERTIFICATE UNDER 37 C.F.R. §1.8(a)

I hereby certify that I have a reasonable basis to expect that this correspondence will be deposited with the United States Postal Service as ~~Express mail~~ with an RCE in an envelope with sufficient postage affixed and addressed to Commissioner of Patents and Trademarks, Washington, DC. 20231, on May 7, 2002.

*First Class
mail*


Charles E. Runyan



SHEET 1

SERIAL NO.

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INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

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APPLICANT

George Rodriguez

FILING DATE

December 11, 2000

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1713

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	AA	4,463,135	07/31/84	Maly	525		
	AB	4,543,399	09/24/78	Jenkins, III et al.	526		
	AC	4,588,790	05/13/86	Jenkins, III et al.	526		
	AD	4,871,705	10/03/89	Hoel	502		
	AE	4,892,851	01/09/90	Ewen et al.	502		

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AF	0 129 368 B	07/26/89	Europe				
	AG	0 277 004 A	08/03/88	Europe				
	AH	0 418 044 B	09/10/97	Europe				

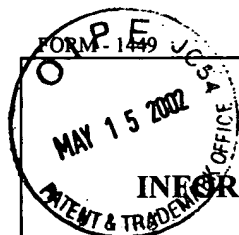
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AI	<u>J. Am. Chem. Soc., (1994), v. 116 - pp. 6435-6436, Quyoum, et al., "A Carbocationic Olefin Polymerization Initiator Masquerading as a Ziegler-Natta Catalyst"</u>						
	AJ	<u>J. of Organometallic Chem., (1989), v.369 - pp. 359-370 - Wiesenfeldt, et al., "ansa-Metallocene Derivatives XVII."</u>						

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	APPLICANT George Rodriguez	
	FILING DATE December 11, 2000	GROUP 1713

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	AK	4,937,299	06/26/90	Ewen et al.	526		
	AL	5,001,205	03/19/91	Hoel	526		
	AM	5,017,714	05/21/91	Welborn, Jr.	556		
	AN	5,028,670	07/02/91	Chinh et al.	526		
	AO	5,153,157	10/06/92	502			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AP	0 426 637 B	04/05/95	Europe				
	AQ	0 570 982 B	01/02/97	Europe				
	AR	0 577 581 A	01/05/94	Europe				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AS	<u>Organometallics, (1994), v.13 - pp. 954-963 - Spaleck, et al., "The Influence of Aromatic Substituents on the Polymerization Behavior of Bridged Zirconocene Catalysts</u>
	AT	<u>Organometallics, (1994), v.13 - pp. 964-970 - Stehling, et al., "ansa-Zirconocene Polymerization Catalysts with Annelated Ring Ligands. . ."</u>

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	AU	5,198,401	03/30/93	Turner et al.	502		
	AV	5,241,025	08/31/93	Hlatky et al.	526		
	AW	5,278,119	01/11/94	Turner et al.	502		
	AX	5,278,264	01/11/94	Spaleck et al.	526		
	AY	5,288,677	02/22/94	Chung et al.	502		

FOREIGN PATENT DOCUMENTS

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	AZ	0 578 838 A	01/19/94	Europe				
	BA	0 591 756 B	11/25/98	Europe				
	BB	WO 91/09882	07/11/91	PCT				

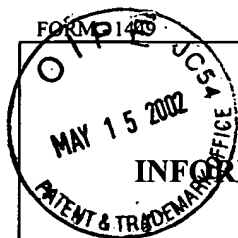
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	BC	<u>Chem. Commun., (1998) pp. 849-850 - Gibson, et al., "Novel Olefin Polymerization Catalysts Based on Iron and Cobalt"</u>
	BD	<u>Organometallics, (1995), v. 14, pp. 5478-5480 - "Sterically Demanding Diamide Ligands: Synthesis and Structure of d^0 Zirconium Alkyl Derivatives"</u>

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	BE	5,296,433	03/22/94	Siedle et al	502		
	BF	5,296,434	03/22/94	Karl et al.	502		
	BG	5,304,614	04/19/94	Winter et al.	526		
	BH	5,308,816	05/03/94	Tsutsui et al.	502		
	BI	5,312,881	05/17/94	Marks et al.	526		

FOREIGN PATENT DOCUMENTS

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	BJ	WO 92/00333	01/09/92	PCT				
	BK	WO 92/10066	06/11/92	PCT				
	BL	WO 93/02099	02/04/93	PCT				

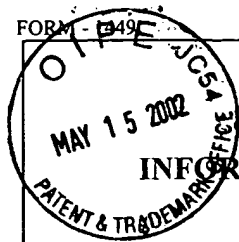
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	BM	<u>Macromolecules</u> , (1996), v.29, pp. 5241-5243 - Scollard, et al., " <i>Polymerization of α-Olefins by Chelating Diamide Complexes of Titanium</i> "
	BN	<u>Angew. Chem. Int. Ed.</u> , (1999) v. 37 - pp. 428-447 - Gibson, et al., " <i>The Search for New-Generation Olefin Polymerization Catalysts: Life Beyond Metallocenes</i> "

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	BO	5,318,935	06/07/94	Canich et al.	502		
	BP	5,324,800	06/28/94	Welborn, Jr., et al.	526		
	BQ	5,352,749	10/04/94	DeChellis et al.	526		
	BR	5,382,638	01/17/95	Bontemps et al.	526		
	BS	5,405,922	04/11/95	DeChellis et al.	526		

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	BT	WO 93/11172	06/10/93	PCT				
	BU	WO 93/14132	07/22/93	PCT				
	BV	WO 93/19103	09/30/93	PCT				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	BY	5,408,017	04/18/95	Turner et al.	526		
	BZ	5,427,991	06/27/95	Turner	502		
	CA	5,436,304	07/25/95	Griffin et al.	526		
	CB	5,447,895	09/05/95	Marks et al.	502		
	CC	5,453,471	09/26/95	Bernier et al.	526		

FOREIGN PATENT DOCUMENTS

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							YES	NO
	CD	WO 94/01471	01/20/94	PCT				
	CE	WO 94/03506	02/17/94	PCT				
	CF	WO 95/07941	03/23/95	PCT				

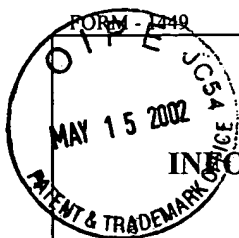
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	CI	5,462,999	10/31/95	Griffin et al.	526		
	CJ	5,502,124	03/26/96	Crowther et al.	526		
	CK	5,504,049	04/02/96	Crowther et al.	502		
	CL	5,610,115	03/11/97	Soga et al.	502		
	CM	5,635,573	06/03/97	Harrington et al.	526		

FOREIGN PATENT DOCUMENTS

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	CN	WO 95/07942	03/23/95	PCT				
	CO	WO 96/23010	08/01/96	PCT				
	CP	WO 96/35726	11/14/96	PCT				

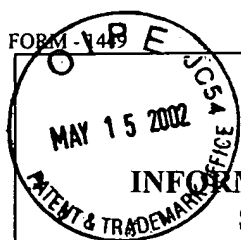
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	CS	5,668,234	09/16/97	Rhodes et al.	526		
	CT	5,688,634	11/18/97	Mixon et al.	430		
	CU	5,763,556	06/09/98	Shaffer et al.	526		
	CV	5,767,208	06/16/98	Turner et al.	526		
	CW	5,851,945	12/22/98	Turner et al.	502		

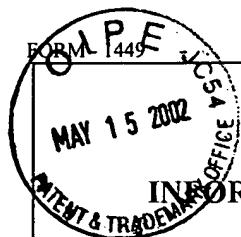
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	CX	WO 96/33227	10/24/96	PCT				
	CY	WO 96/40805	12/19/96	PCT				
	CZ	WO 97/22635	06/26/97	PCT				
	DA	WO 97/22639	06/26/97	PCT				
	DB	WO 97/29845	08/21/97	PCT				
	DC	WO 97/35893	10/02/97	PCT				

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U.S. PATENT DOCUMENTS

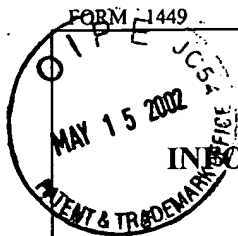
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE APPROPRIATE
	DD	5,895,771	04/20/99	Epstein et al.	502		
	DE	5,939,347	08/17/99	Ward et al.	502		

FOREIGN PATENT DOCUMENTS

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	DF	WO 97/48735	12/24/97	PCT				
	DG	WO 98/03558	01/29/98	PCT				
	DH	WO 98/37109	08/27/98	PCT				
	DI	WO 99/09306	02/25/99	PCT				
	DJ	WO 99/30822	06/24/99	PCT				
	DK	WO 99/42467	08/26/99	PCT				
	DL	WO 99/45042	09/10/99	PCT				
	DM	WO 99/43717	09/02/99	PCT				X
	DN	WO 99/50311	10/07/99	PCT				
	DO	WO 98/55518	12/10/98	PCT				

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		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	DP	WO 96/40796A	12/19/96	PCT				
	DQ	EP 0543022	05/26/93	Europe				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

DR	ABSTRACT - Database CHEMABS Online, Chemical Abstracts Service, Columbus, Ohio for Matsuura, Mitsunobu et al., "Image Formation of Silver Halide Color Photographic Material Containing Color Developer and Image Information Recording", JP 11 316434 A (Konica Co., Japan) November 16, 1999
DS	Sammes, Michael P. et al: "Synthetic Applications of N-N linked heterocycles, Part 15. A facile synthesis of 4-pyridyl(aryl)amines via the reaction between 4-chloro-1-pyridiniopyridinium salts and aryl amines", <u>J. Chem. Soc., Perkin Trans. 1</u> (1983), (5), pp. 973-8

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